

COMPLAINTS FORM

Allegation of a breach of the FINZ Codes of Ethics and/or Professional Conduct

Please provide details of the person or organisation being complained about:

Name:

Address:

Email:

Phone:

Is this person or organisation a member of FINZ? (please tick)

Yes

No

Don't Know

I have read and understood the FINZ Complaints process provided in hardcopy or online at

<https://www.finz.org.nz/ethics/code-of-ethics> (please tick)

I Have

Nature of the Complaint:

Identify where possible, the parts of the Codes of Ethics and/or Professional Conduct that have allegedly been breached by the person or organisation named above and detail the nature of your complaint below. Please attach any documents and records which support your complaint.

Date of the conduct that is the subject of the complaint:

Details of the person making the complaint (the complainant):

Name:

Address:

Email:

Phone:

Are you or your organisation a FINZ Member? (please tick)

Yes

No

Don't Know

I affirm that, to the best of my knowledge, the information above is true, accurate and complete. I understand that this form and any documents that I provide will be shown to the person or organisation who is the subject of my complaint.

Signature:

Date: